

**Plan Holder Information**

Family Name \_\_\_\_\_ Given Name \_\_\_\_\_ Branch Stamp \_\_\_\_\_

Social Insurance Number \_\_\_\_\_ Telephone Number \_\_\_\_\_

**Applicable Pension Legislation**

Pension Source  Provincial \_\_\_\_\_  
Jurisdiction \_\_\_\_\_

Or

And \_\_\_\_\_  
Company Name \_\_\_\_\_

**Plan Type** (Please identify your existing plan type)

Personal  Spousal  Locked-In  Federal \_\_\_\_\_  
Company Name \_\_\_\_\_

If Locked-in Pension information must be completed. =>

**Complete For Spousal Plans Only**

Contributor's Family Name \_\_\_\_\_ Contributor's Given Name \_\_\_\_\_ And Contributor's Social Insurance Number \_\_\_\_\_

**(A) Transfer from a TD Canada Trust RSP**

Transit # \_\_\_\_\_ Plan # \_\_\_\_\_ DISA RSP Account # \_\_\_\_\_

**Full Plan Transfer** Transfer All Cash & GICs As They Are **Or**  **Partial Plan Transfer** Specify what Part(s) of the Plan are to be transferred (Partial Transfer **Only**)

DISA RSP Account - \$ \_\_\_\_\_ **Only**

Term deposit # \_\_\_\_\_ - \_\_\_\_\_ **In-Kind** (As it is)

Term deposit # \_\_\_\_\_ - \_\_\_\_\_ **In-Kind** (As it is)

Term deposit # \_\_\_\_\_ - \_\_\_\_\_ **In-Kind** (As it is)

Or

**(B) Transfer from Other Internal Registered Plans** (Select only one, using an "X")

**Provide The Plan Number**

<input type="checkbox"/> TD Waterhouse Discount Brokerage	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF	_____
<input type="checkbox"/> TD Waterhouse Private Investment Advice	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF	_____
<input type="checkbox"/> TD Waterhouse Financial Planning	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF	_____
<input type="checkbox"/> TD Future Builder Account		_____
<input type="checkbox"/> TD Investment Services Inc.	TD Mutual Funds RSP/RIF/LIF/LRIF	_____
<input type="checkbox"/> TD Canada Trust RIO	FLEXI RIF/LIF/LRIF	_____
<input type="checkbox"/> TD Waterhouse Private Investment Counsel Inc. Account		_____
<input type="checkbox"/> Other:		_____

**Transfer Instructions** (Select either "Full", or "Partial")

Full Plan Transfer  "In-Cash" (Sell all eligible investments and transfer the proceeds as Cash) **Or**  "In-Kind" (Transfer cash / eligible investments as they are)

Or

Partial Plan Transfer  "In-Cash" \$ \_\_\_\_\_ And/Or  "In-Kind"

(Sell investments listed below and transfer the proceeds as cash)

_____	_____	_____	_____
shares/units	Security Name	shares/units	Security Name
_____	_____	_____	_____
shares/units	Security Name	shares/units	Security Name

**(C) Transfer to Other Internal Registered Plans**

(Must be completed for all Transfers)

(Select only one, using an "X")

**Provide The Plan Number**

<input type="checkbox"/> <b>TD Canada Trust RSP</b>	Transit # <input type="text"/>	<input type="text"/>
	DISA RSP Account # <input type="text"/>	<input type="text"/>
<input type="checkbox"/> <b>TD Waterhouse Discount Brokerage</b>	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF	<input type="text"/>
<input type="checkbox"/> <b>TD Waterhouse Private Investment Advice</b>	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF	<input type="text"/>
<input type="checkbox"/> <b>TD Waterhouse Financial Planning</b>	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF	<input type="text"/>
<input type="checkbox"/> <b>TD Future Builder Account</b>		<input type="text"/>
<input type="checkbox"/> <b>TD Investment Services Inc.</b>	TD Mutual Funds RSP/RIF/LIF/LRIF	<input type="text"/>
<input type="checkbox"/> <b>TD Canada Trust RIO</b>	FLEXI RIF/LIF/LRIF	<input type="text"/>
<input type="checkbox"/> <b>TD Waterhouse Private Investment Counsel Inc. Account</b>		<input type="text"/>
<input type="checkbox"/> <b>Other:</b>		<input type="text"/>

**(D) Important - Must Be Completed**

I acknowledge that market prices for assets may take a down turn prior to receipt of my instructions for sale. Sale of securities may delay the completion of the transfer.

_____	_____	<input type="text"/>
Planholder's Signature	Branch Authorized Signature & Number	RIC Number
<input type="text"/> - <input type="text"/> - <input type="text"/>	_____	<input type="text"/> - <input type="text"/> - <input type="text"/>
MM DD YYYY	Branch Contact (Please Print Name)	Branch Contact Telephone Number

**For Head Office Use Only**

<input type="checkbox"/> Personal	<input type="checkbox"/> Locked-In RSP / LIRA
<input type="checkbox"/> Spousal	<input type="checkbox"/> Locked-In RIF / LIF / LRIF

_____	_____
Date Request Received	Date Request Completed

\$ \_\_\_\_\_

**For RIF/SDRIF Account Only**

<input type="checkbox"/> Qualifying	<input type="checkbox"/> Non-Qualifying
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Annual Minimum Paid \$ \_\_\_\_\_

\_\_\_\_\_  
Authorized Signature

**This Form Is Not To Be Used For Buy Orders**

## How To Complete The Form

**Note: A different form must be used when transferring funds to more than one area or from more than one plan.**

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### Section A - Transfer Instructions From a TD Canada Trust RSP

Complete this section **only** when transferring from a TD Canada Trust RSP. When Section A applies, Sections C and D **must** be completed. Tick "Full Plan Transfer" if everything in the RSP plan is to be transferred (i.e. DISA RSP account and all associated GICs).

Tick "Partial Plan Transfer" if the customer does not wish to transfer the entire plan.

If "Partial Plan Transfer" is selected:

Tick "DISA RSP Account Full Balance" if the customer wishes to transfer the entire DISA RSP Account Balance.

Tick "DISA RSP Account - \$..... Only" if the customer wishes to transfer a specific amount from their DISA RSP account. Enter the requested transfer amount in the space provided.

Tick "GIC Deposit #.....-..... In-Kind (As it is)" when the customer wishes to transfer one or more of their GICs. Enter the GIC # and the deposit number in the space provided.

**Note: For GICs maturing within 3 business days ensure that the TRM maturity instructions are changed to "Deposit to DISA" prior to transferring the deposit. When the maturity option has been changed, tick "DISA RSP Account - \$..... Only" and enter the value of the matured GIC in the space provided.**

**\*\*\*For GICs with maturity dates longer than 3 days, transfer the GIC "In-Kind" (As it is).**

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### Section B - Transfer Instructions For All Transfers From Other Internal Registered Plans

Complete this section when transfers from TD Waterhouse Discount Brokerage, TD Waterhouse Private Investment Advice, TD Waterhouse Financial Planning, TD Waterhouse Private Counsel Inc., TD Investment Services Inc., TD Canada Trust RIO, or TD Future Builder are requested. When Section B applies, Sections C and D **must** be completed.

Tick the appropriate box to indicate the source of the transfer. Enter the plan #.

**And**

Tick **one** box to indicate if the transfer is a:

Full Plan Transfer - customer has requested that **all** assets are to be transferred. Indicate whether the transfer is to be "In-Cash"/"In-Kind".

**Or**

Partial Plan Transfer - customer wants to transfer a specific cash amount or specific investments. Enter the dollar amount **or** quantity of shares/units and the name of the security to be transferred.

Then tick the appropriate box to indicate if the transfer is:

In-Cash (meaning to sell all eligible securities & send the proceeds as "**Cash**").

**Or**

In-Kind (meaning to transfer the existing cash balance and eligible securities as they are).

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### Section C - Transfer To

Completion of this section is **mandatory** regardless of the type of transfer.

Indicate by ticking the appropriate box **where** the transfer is to go and insert the Plan # and if applicable the DISA RSP #.

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### Section D

Self explanatory. Ensure all information / authorizations requested are provided.

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### Forward the Transfer Documents to the Applicable Area:

**TD Investment Services (Mutual Funds)  
TD Waterhouse (ANY) Canada Inc.**

Client Transfer Services  
c/o Distribution Centre  
60 Northwind Place  
Scarborough, Ontario M1S 5L4

**TD Canada Trust RIO**

Branch Services Centre (BSC)

**TD Group Future Builder**

77 Bloor Street West, 6th Floor  
P.O. Box 5999, Station F  
Toronto, Ontario M4Y 2T1  
Fax #: 1-800-538-6367