

**This application can be used by existing TD Canada Trust customers to open a TD Mutual Funds Tax-Free Savings Account.**

**Steps to complete this package:**

- Account Application and Wealth Allocation Model
  - Both documents must be completed in order to open a TD Mutual Funds Tax-Free Savings Account. The Wealth Allocation Model will help you determine your Investor Profile and the optimal asset mix for your portfolio.
- Please provide your TD Canada Trust bank account number or TD Mutual Funds account number in the space provided.
- Transaction Form
  - The Transaction Form is to be used if you are making purchases, or setting up a Pre-Authorized Purchase Plan (PPP) for your Mutual Funds account.
- Transfers
  - If transferring from an existing Tax-Free Savings Account, please complete the transfer form, at the following link <http://www.tdcanadatrust.com/mutualfunds/downloads.jsp>
- Designation of Successor Holder and/or Beneficiary
  - The designation of a successor holder or beneficiary of this Tax-Free Savings Account is subject to the laws of the applicable jurisdiction (province or territory). If the laws of the applicable jurisdiction do not permit such a designation in the Account, it may be made only in your will (or, in Quebec, a marriage contract). If the laws of the applicable jurisdiction permit such a designation in the Account, please provide this information in Section 2 of the Account Application. As of June 2009, legislation to permit such a designation in the Account is in force in the following provinces & territories, Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland/Labrador, Northwest Territories, Nova Scotia, Ontario, Prince Edward Island, Saskatchewan and Yukon.
- In order to avoid delay, please complete correctly, sign, and initial all applicable areas.  
Forward your completed documents to:
  - TD Investment Services Inc.
  - Liberty Centre
  - 3500 Steeles Avenue, East
  - Tower 5, Level 2
  - Markham, Ontario
  - L3R 0X1

**All applications are subject to approval by TD Investment Services Inc.**

If you require further assistance, please call 1-800-281-8029 to speak to one of our Mutual Funds Representatives or email us at [td.mutualfunds@td.com](mailto:td.mutualfunds@td.com).

**Branch Use Only**

TD Mutual Funds® Account # \_\_\_\_\_  
 Mutual Funds Representative Name \_\_\_\_\_ Rep. Code \_\_\_\_\_ Branch No. \_\_\_\_\_

**1. Applicant Information**

Mr.  Mrs.  Miss  Ms.  Other \_\_\_\_\_  Male  Female

Name \_\_\_\_\_  
Given Name Initial(s) Family Name

Social Insurance No. \_\_\_\_\_ Date of Birth \_\_\_\_\_ Note: Applicant must be of legal Age of Majority.  
MANDATORY MANDATORY  
Yr Mo Day

Federal Government requires your Social Insurance Number (SIN). You must by law provide us with your SIN so that we may supply the Canada Revenue Agency with reports of activity on your Account.

Permanent Address \_\_\_\_\_ City \_\_\_\_\_

Province \_\_\_\_\_ Country \_\_\_\_\_ Postal Code \_\_\_\_\_

Telephone home ( ) \_\_\_\_\_ Telephone business ( ) \_\_\_\_\_

E-mail address \_\_\_\_\_

Please complete if mailing address is different from above:

Mailing Address \_\_\_\_\_ City \_\_\_\_\_

Province \_\_\_\_\_ Country \_\_\_\_\_ Postal Code \_\_\_\_\_

Identification MANDATORY  
(Two original pieces of ID required or existing TDIS account #):  
 Identification # \_\_\_\_\_ Type \_\_\_\_\_ Place of Issuance \_\_\_\_\_

Identification # \_\_\_\_\_ Type \_\_\_\_\_ Place of Issuance \_\_\_\_\_  
MANDATORY

**Language of Correspondence**

English  
(if a Quebec resident see Section 6)  
 French

Note: TDIS accounts are only available to Canadian residents.

**Branch Use Only** Identification verified by: \_\_\_\_\_ Initial: \_\_\_\_\_  
 Second vouch of customer identification is only required for new TDCT customers (authentication rules as per KYC still apply). If identification is missing from a customer's profile, a second vouch of customer identification is required.

Employer \_\_\_\_\_ Employment Start Date \_\_\_\_\_ Type of Business \_\_\_\_\_  
Yr Mo

Employer's Address \_\_\_\_\_ Occupation \_\_\_\_\_

Power of Attorney/Trading Authority  Yes  No If Yes, please specify type and attach a copy of the document  
 (Attorney must provide KYC information in the applicable form): \_\_\_\_\_

Have you borrowed money in order to make an investment in this account?  Yes  No

**Using borrowed money to finance the purchase of securities involves greater risk than a purchase using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.**

I confirm having read this disclosure (please initial) Applicant \_\_\_\_\_

**2. Please Complete this Section for Your Tax-Free Savings Account**

The designation of a successor holder or beneficiary of this Tax-Free Savings Account is subject to the laws of the applicable jurisdiction (province or territory). If the laws of the applicable jurisdiction do not permit such a designation in the Account, it may be made only in your will (or, in Quebec, a marriage contract). If the laws of the applicable jurisdiction permit such a designation in the Account, the following applies.

**a) Designation of Beneficiary**

You have chosen to designate the following individual as beneficiary for the Account in the event of your death:

Name \_\_\_\_\_  
Given Name Initial(s) Family Name Relationship

**Note:** You may wish to discuss this designation with your legal advisor. Your designation of a beneficiary by means of a designation form will not be revoked or changed automatically by any future marriage or divorce. Should you wish to change your beneficiary in the event of a future marriage or divorce, you will have to do so by means of a new designation.

**b) Successor Holder**

Name \_\_\_\_\_ Social Insurance No. \_\_\_\_\_  
Given Name Initial(s) Family Name

I hereby designate my spouse or common-law partner, if he or she survives me, to become the successor holder of the Account on my death.

**3. Banking Information**

**Please attach a void cheque if you do not have a bank account with TD Canada Trust. If an account application is being mailed and you do not have a TD Canada Trust bank account or TD Mutual Funds account, a cheque payable to TD Investment Services Inc. MUST accompany the application along with your first purchase instructions.**

Canadian \$ Account Number \_\_\_\_\_

Account Holder's Name(s) \_\_\_\_\_

Financial Institution \_\_\_\_\_ Institution Number \_\_\_\_\_ Branch Transit Number \_\_\_\_\_

Financial Institution Address \_\_\_\_\_

City \_\_\_\_\_ Province \_\_\_\_\_ Postal Code \_\_\_\_\_

US \$ Account Number \_\_\_\_\_

Account Holder's Name(s) \_\_\_\_\_

Financial Institution \_\_\_\_\_ Institution Number \_\_\_\_\_ Branch Transit Number \_\_\_\_\_

Financial Institution Address \_\_\_\_\_

City \_\_\_\_\_ Province \_\_\_\_\_ Postal Code \_\_\_\_\_

### 3. Banking Information cont'd

Please select **one**: (If not completed, investment income will be automatically reinvested.)

- Investment income from dividends and interest should be automatically reinvested in additional units.
- Investment income should be directed to my bank account.
- Investment income should be sent by cheque to the address noted in Section 1.

### 4. Consent to the Collection, Use and/or Disclosure of Your Information

You agree that, at the time you begin a relationship with us and during the course of our relationship, we may collect, use and disclose your Information as described in the Privacy Agreement (located in the TD Investment Services Inc. Disclosure Document) and on td.com, including for, but not limited to, the purposes of identifying you, providing ongoing service, understanding your financial needs, protecting us both from fraud and error, complying with legal and regulatory requirements, and marketing products and services to you by telephone, fax, and automatic dialing-announcing device, at the numbers you have provided us, or by internet, mail, email or other methods.

You may obtain our privacy code - "Protecting Your Privacy" - or review your options for refusing or withdrawing this consent, including your option not to be contacted about offers of products or services, by contacting your branch or calling us at 1-866-222-3456.

#### You have read the Consent to the Collection, Use and/or Disclosure of Your Information and agree that:

- We may share information within the TD Bank Financial Group
- We may not share information within TD Bank Financial Group except as reasonably necessary to service your account.

### 5. Important Customer Information

You have read and hereby acknowledge the Important Customer Information on the following page.

Applicant  Yes

### 6. Application, Authorization, Disclosure, and Registration of Your Tax-Free Savings Account

Unless otherwise indicated, throughout the application and in our other documents, the words "you", "your" and "yours" mean the applicant (and any other individuals with authority over the account). The words "we", "us" and "our" mean TD Investment Services Inc. (TDIS) and/or TD Bank Financial Group.\*

**Within two (2) business days of the acceptance of the first contribution to your TDIS Account, we will send you a current copy of the Simplified Prospectus for the Applicable Portfolio and/or Mutual Fund(s).**

You hereby acknowledge that we will send you the TDIS Disclosure Document within two (2) business days of acceptance of first contribution containing the Declarations of Trust for Registered Plans, Statement of Policies, Statement of Related Issuers (Alberta residents only), Conflict of Interest Rules Statement (B.C. residents only) and Leveraging Disclosure Statement.

You understand that Mutual Funds subscriptions are made on the terms and conditions described in the prospectus and that it is subject to approval by TD Asset Management Inc. (TDAM). TDAM reserves the right to accept or reject any purchase order within one (1) business day of receipt of the order.

You acknowledge that for our mutual protection, TDIS will record all telephone calls by which your orders are placed.

#### Request to register a TD Mutual Funds Tax-Free Savings Account:

To **The Canada Trust Company (the "Trustee")**:

You hereby request that the Trustee file with the Minister of National Revenue an election to register the Account as a tax-free savings account under section 146.2 of the Income Tax Act (*Canada*). You understand that all references in the Declaration of Trust to the application or the Account are to be read and understood as references to this application. You agree on behalf of yourself, your executors and administrators to be bound by the terms of the Account. All contributions to the Account are to be invested by the Trustee as directed by you in accordance with the terms of the Account and held in your account subject to the terms of the Account. You agree to notify the Trustee if, at any time, you become a non-resident of Canada.

Most TD Mutual Funds charge an early redemption fee within a certain number of days after purchase. Please refer to the prospectus or ask a Mutual Funds Representative for applicability to the funds you are purchasing.

\* The TD Bank Financial Group means The Toronto-Dominion Bank and its affiliates, who provide deposit, investment, loan, securities, trust, insurance and other products or services.

X

Signature of Applicant

Date

### For Québec Residents Only. Language Preference Agreement (Québec residents who have requested English language only).

If you are a Québec resident, you agree with the following statement: It is my express wish that this application and any related documents be in English. *J'ai exigé que la présente formule et tous les documents s'y rattachent soient rédigés en anglais.*

Initial

#### Initial Contact:

- Advertising
- Branch Visit
- Referral
- Phone Call
- Personal Meeting

#### Branch Use Only

Mutual Funds Representative Signature \_\_\_\_\_ Rep. Code [ ] [ ] [ ] [ ] [ ] [ ]

Branch Manager Signature \_\_\_\_\_ Date: \_\_\_\_\_ Branch Manager Rep. Code [ ] [ ] [ ] [ ] [ ] [ ]

Branch Telephone # \_\_\_\_\_

#### Checklist:

- Wealth Allocation Model (Form # 521894) - **MANDATORY**
- Transaction Form (Form # 595738) - **For Lump Sum Purchases and PPP, please complete this form.**
- For transfers into TD Mutual Funds accounts (If applicable, please attach the appropriate transfer forms and a copy of a most recent statement.)
- Use of Information (Please ensure CustomerLink is updated.)

## Important Customer Information

TD Investment Services Inc. ("TDIS") is a separate company from and a wholly-owned subsidiary of The Toronto-Dominion Bank ("TD Bank").

**Mutual fund investments fluctuate in value and are not insured by the Canada Deposit Insurance Corporation or the Régie de l'assurance-dépôts du Québec and are not guaranteed by The Toronto-Dominion Bank. TDIS is not responsible for activities its representatives conduct for TD Bank. TD Bank is not responsible for activities its employees conduct for TDIS.**

TD Mutual Funds and TD Managed Assets Program are distributed by TD Investment Services Inc. ("TDIS"), a wholly-owned subsidiary of The Toronto-Dominion Bank. Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus, which contains detailed investment information, before investing. Mutual funds are not covered by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed or insured. Their values change frequently. There can be no assurance that a money market fund will be able to maintain its net asset value per unit at a constant amount or that the full amount of your investment will be returned to you. Past performance may not be repeated.

® Trademark of The Toronto-Dominion Bank, TD Investment Services Inc. is a licensed user.

The following questions will help determine an asset allocation strategy appropriate for your personal goals. If you have more than one goal – such as retirement, your children’s education or a new home – complete a separate questionnaire for each goal.

How much money do you want to invest today?

Lump Sum \$  Pre-Authorized Purchase Plan (PPP) \$

Which one of the following statements best describes you?

- While I want your suggestions and guidance in selecting a portfolio that is best for me, I still want the ability to customize my portfolio. (We recommend looking at the TD Mutual Funds Model Portfolios once you’ve completed this questionnaire.)
- I want you to manage my portfolio and fund selection in keeping with my investment objectives. (We recommend looking at the TD Comfort Portfolios once you’ve completed this questionnaire.)

1. I would consider my investment knowledge to be:

- a. None      b. Limited      c. Average      d. Sophisticated

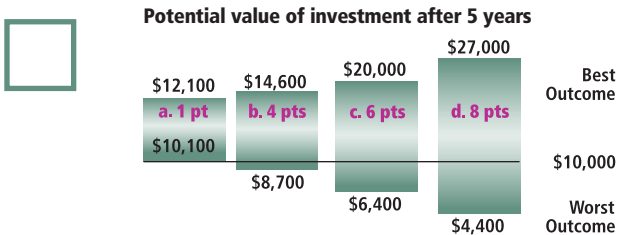
2. My current investments are best described as follows:

- a. Little or no investments. . . . . 0 Points
- b. Mostly T-bills, GICs or term deposits. . . . . 0 Points
- c. Mostly bonds, strip bonds or income mutual funds. . . . . 2 Points
- d. A mix of money market, bond and stock investments and/or mutual funds. . . . . 3 Points
- e. Mostly stock or stock mutual funds. . . . . 4 Points

3. Risk Tolerance: I respond to fluctuations in my investments in the following manner:

- a. **Low:** I will sell quickly any time my investment loses value or money. . . . . 1 Point
- b. **Med:** Day-to-day market movements make me uncomfortable. If an investment loses value over a period of three to six months, I am likely to sell it and look for a better alternative. . . . . 2 Points
- c. **Med-High:** I realize that markets may rise and fall randomly. I usually watch my investment for at least a year before making changes. . . . . 4 Points
- d. **High:** I believe that a long-term investment strategy will maximize potential returns. Even if poor market conditions resulted in sizeable losses in a given year, I would stay invested. . . . . 6 Points

4. Investments with higher returns typically involve greater risk. This question presents four hypothetical \$10,000 investments with widely varying potential risks/rewards. Which outcome would you be most comfortable with?



5. The statement that most clearly defines my investment objective is:

- a. I want to ensure my capital is safe and I do not need income at this time. . . . . 0 Points
- b. I require a steady stream of income from my investments. . . . . 1 Point
- c. I have some need of income, but am also interested in capital growth. . . . . 2 Points
- d. I would like long-term growth and I am less concerned about income at this time. . . . . 5 Points
- e. I’m only interested in growth over the long term. . . . . 6 Points

6. Investment Time Frame: I plan to start withdrawing money from my investments in the:

- a. **Short Term** (under 2 years) . . . . . 1 Point
- b. **Mid Term** (2 - 5 years) . . . . . 2 Points
- c. **Mid to Long Term** (6 - 10 years) . . . . . 5 Points
- d. **Long Term** (over 10 years) . . . . . 9 Points

7. Portfolio Value: The current value of my RSP and non-Registered investment portfolio, including investments held at other institutions (e.g., mutual funds, stocks, bonds, GICs, money market investments, savings/chequing accounts), but excluding real estate is:

- a. Under \$25,000 . . . . . 1 Point
- b. \$25,000 - \$49,999 . . . . . 2 Points
- c. \$50,000 - \$99,999 . . . . . 3 Points
- d. \$100,000 - \$250,000 . . . . . 4 Points
- e. Over \$250,000 . . . . . 5 Points

8. The approximate value of my personal net worth (total assets minus total liabilities) is:

- a. Under \$25,000
- b. \$25,000 - \$49,999
- c. \$50,000 - \$99,999
- d. \$100,000 - \$199,999
- e. \$200,000 - \$500,000
- f. Over \$500,000

9. My personal annual income is in the following range:

- a. Under \$25,000 . . . . . 1 Point
- b. \$25,000 - \$49,999 . . . . . 2 Points
- c. \$50,000 - \$74,999 . . . . . 3 Points
- d. \$75,000 - \$125,000 . . . . . 4 Points
- e. Over \$125,000 . . . . . 5 Points

10. My current age is in the following range:

- a. Under 30 years . . . . . 4 Points
- b. 30 - 45 years . . . . . 5 Points
- c. 46 - 55 years . . . . . 3 Points
- d. 56 - 65 years . . . . . 1 Point
- e. Over 65 years . . . . . 1 Point

**Total Point Score** (Add scores for questions 2-10)

Please transfer this score to Step 2 ▶

Investment Objective: (refer to Step 2 for percentages)

Safety of Principal: \_\_\_\_\_% Income: \_\_\_\_\_% Growth: \_\_\_\_\_% = 100%

Customer Signature \_\_\_\_\_

Customer Name \_\_\_\_\_

Joint Applicant Initials \_\_\_\_\_ Mutual Funds Account

Investor Profile \_\_\_\_\_

Mutual Funds Representative Signature \_\_\_\_\_

Mutual Funds Representative Name \_\_\_\_\_

Rep. #  Date \_\_\_\_\_

Branch Manager Initials \_\_\_\_\_ Date \_\_\_\_\_

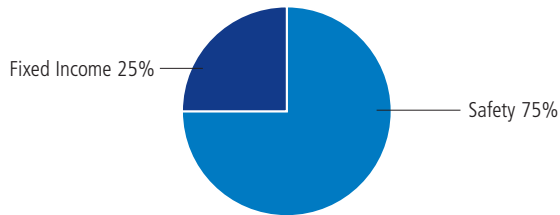


Enter your Total Point Score from Step 1

Match your point score to the chart to determine your Investor Profile and the asset mix that's appropriate for you.

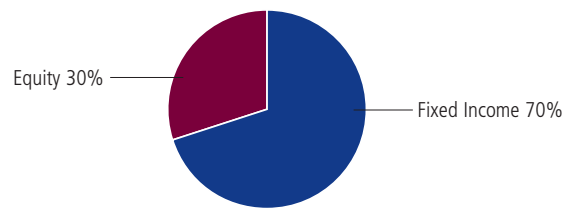
**Safety of Principal**  
6-12 points

**Investment Objective:**  
Safety: 75%  
Income: 25%



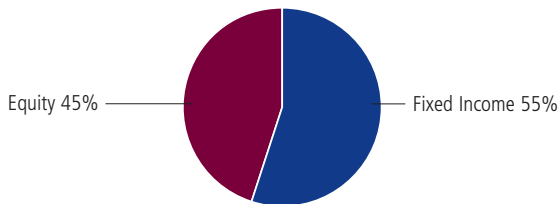
**Income**  
13-19 points

**Investment Objective:**  
Income: 70%  
Growth: 30%



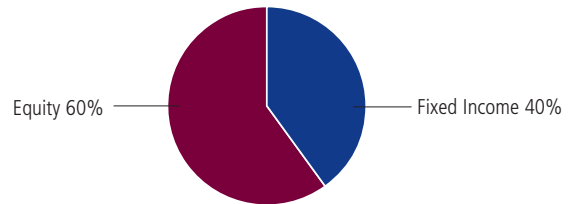
**Income and Moderate Growth**  
20-26 points

**Investment Objective:**  
Income: 55%  
Growth: 45%



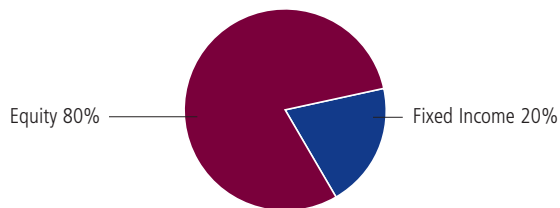
**Balanced Growth**  
27-34 points

**Investment Objective:**  
Income: 40%  
Growth: 60%



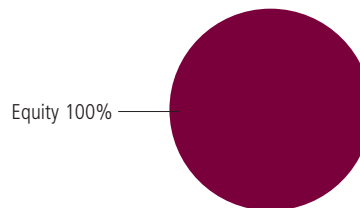
**Aggressive Growth**  
35-42 points

**Investment Objective:**  
Income: 20%  
Growth: 80%



**Maximum Equity Growth**  
43-48 points

**Investment Objective:**  
Growth: 100%



These are the recommended, professionally managed TD Comfort Portfolios<sup>2</sup> for each of the Investor Profiles. Each Comfort Portfolio offers a convenient all-in-one diversified and professionally managed investment solution.

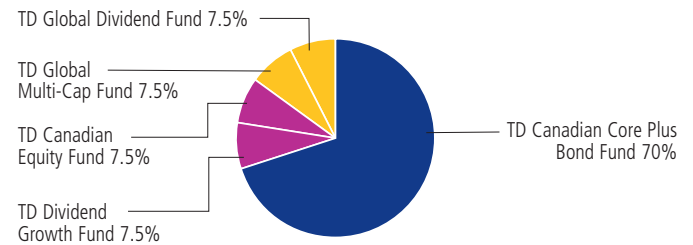
Please note that investors cannot change the fund selection or the percentage of the portfolio each fund represents.

Recommended initial minimum investment is \$5,000 and \$25 for a Pre-Authorized Purchase Plan (PPP). PPP purchases are only permitted if the initial minimum investments are satisfied.

Initial Investment is \$100 for RESP accounts.

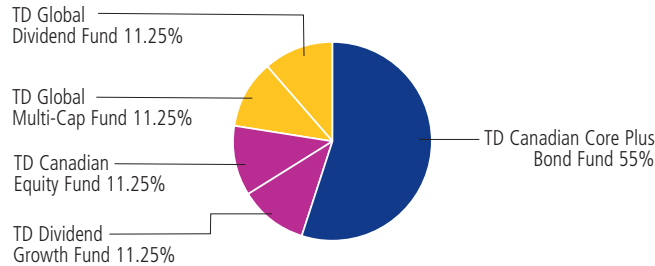
**TD Comfort Conservative Portfolio  
13-19 points**

**Asset Class Ranges:** Fixed Income: 60%-80%; Equity: 20%-40%



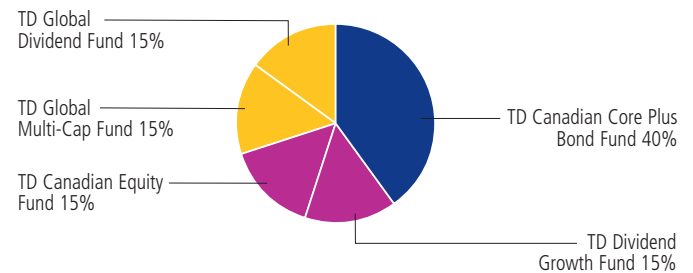
**TD Comfort Moderate Portfolio  
20-26 points**

**Asset Class Ranges:** Fixed Income: 45%-65%; Equity: 35%-55%



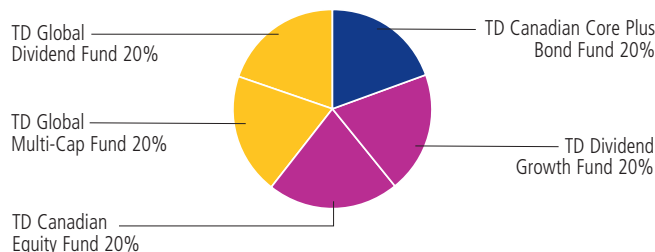
**TD Comfort Balanced Portfolio  
27-34 points**

**Asset Class Ranges:** Fixed Income: 30%-50%; Equity: 50%-70%



**TD Comfort Growth Portfolio  
35-42 points**

**Asset Class Ranges:** Fixed Income: 10%-30%; Equity: 70%-90%



**TD Comfort Equity Portfolio  
43-48 points**

**Asset Class Ranges:** Fixed Income: 0%-10%; Equity: 90%-100%



These are the recommended TD Mutual Funds Portfolios for each of the Investor Profiles. Should you decide to alter the recommended funds or their percentage weightings, be sure that you stay within your asset mix objectives.

	Recommended Investment Amount	
	<\$10,000	\$10,000+
<b>Lump Sum</b> (Min. \$100/fund)	<\$10,000	\$10,000+
<b>Pre-Authorized Purchase Plan</b> (Min. \$25/fund)	<\$250	\$250+
<b>Safety of Principal</b> 6-12 points	100% TD Canadian Money Market Fund	75% TD Canadian Money Market Fund 25% TD Canadian Bond Fund
<b>Income</b> 13-19 points	100% TD Canadian Core Plus Bond Fund	55% TD Canadian Core Plus Bond Fund    10% TD Global Select Fund 15% TD Short Term Bond Fund        10% TD Global Value Fund 10% TD Canadian Blue Chip Equity Fund
<b>Income and Moderate Growth</b> 20-26 points	100% TD Monthly Income Fund	45% TD Canadian Core Plus Bond Fund    16% TD Global Select Fund 10% TD Short Term Bond Fund            16% TD Global Value Fund 13% TD Canadian Blue Chip Equity Fund
<b>Balanced Growth</b> 27-34 points	100% TD Monthly Income Fund	40% TD Canadian Core Plus Bond Fund    11% TD U.S. Large-Cap Value Fund 18% TD Canadian Blue Chip Equity Fund    11% TD International Equity Fund 10% TD U.S. Blue Chip Equity Fund        10% TD International Equity Growth Fund
<b>Aggressive Growth</b> 35-42 points	100% TD Dividend Growth Fund	20% TD Canadian Core Plus Bond Fund    14% TD U.S. Large-Cap Value Fund 24% TD Canadian Blue Chip Equity Fund    14% TD International Equity Fund 14% TD U.S. Blue Chip Equity Fund        14% TD International Equity Growth Fund
<b>Maximum Equity Growth</b> 43-48 points	100% TD Dividend Growth Fund	30% TD Canadian Blue Chip Equity Fund    18% TD International Equity Fund 12% TD U.S. Blue Chip Equity Fund        12% TD International Equity Growth Fund 18% TD U.S. Large-Cap Value Fund        10% Global Multi-Cap Fund

This worksheet will help you determine your investment in a TD Mutual Funds Portfolio.

- A. Enter your asset mix as determined by your Investor Profile in Step 2.
- B. Enter the amount you have to invest.
- C. List the funds you selected in Step 3 and the percentage each represents. Then calculate the actual dollar amount (total investment x X%) for each fund.  
For TD Comfort Portfolios, simply write the name of your recommended portfolio. No calculations required.

A. Asset Mix:	C. Fund Name(s)	%	\$ Amount
Safety <input type="text"/> %	_____	_____	_____
Fixed Income <input type="text"/> %	_____	_____	_____
Equity <input type="text"/> %	_____	_____	_____
<b>B. Amount to invest:</b>			
Lump Sum        \$ <input type="text"/>	_____	_____	_____
Pre-Authorized Purchase Plan (PPP) \$ <input type="text"/>	_____	_____	_____
PPP Effective Date _____	_____	_____	_____
PPP Frequency    _____	_____	_____	_____
	<b>Total</b>	<b>100%</b>	<b>\$ <input type="text"/></b>

**For more information, please speak with  
a Mutual Funds Representative<sup>1</sup>  
at your TD Canada Trust branch, call **1-800-281-8029**  
or visit **[www.tdcanadatrust.com/mutualfunds](http://www.tdcanadatrust.com/mutualfunds)****

Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus, which contains detailed investment information, before investing. Mutual funds are not covered by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed or insured. Their values change frequently. There can be no assurances that a money market fund will be able to maintain its net asset value per unit at a constant amount or that the full amount of your investment will be returned to you. Past performance may not be repeated.

TD Mutual Funds are managed by TD Asset Management Inc., a wholly owned subsidiary of The Toronto-Dominion Bank. Available through TD Investment Services Inc. (principal distributor), TD Waterhouse Canada Inc. (member CIPF) and independent dealers.

<sup>1</sup> Mutual Funds Representatives with TD Investment Services Inc. distribute mutual funds at TD Canada Trust.

<sup>2</sup> Asset allocation targets and fund selection are as at December 8, 2008 and are subject to change.

TD Mutual Funds and Comfort Portfolios are trade-marks of The Toronto-Dominion Bank, used under license.



TD Investment Services Inc.

Personal Information

Name \_\_\_\_\_  
Given Name Family Name

Source/Settlement

- Please debit the bank account on the new account application for this transaction.
- Cheque is enclosed and made payable to TD Investment Services Inc. (TDIS).
- Transfer from an existing RSP account, Non-Registered account, or Tax-Free Savings Account.

Transaction Information

- Purchase:
- Non-Registered
  - RSP Contribution
  - RSP Rollover
  - TFSA

Fund #	Fund/Portfolio Name	\$ or %	CAD/ USD	Fund #	Fund/Portfolio Name	\$ or %	CAD/ USD
1.	_____	_____	_____	4.	_____	_____	_____
2.	_____	_____	_____	5.	_____	_____	_____
3.	_____	_____	_____	6.	_____	_____	_____

Pre-Authorized Purchase Plan (PPP)

	<input type="checkbox"/> <small>New</small>	Fund #	Dollars (\$)	Frequency <small>(W, B, M, Q, S, A)</small>	Start Date <small>(Yr/Mo/Day)</small>
1.	<input type="checkbox"/>	_____	_____	_____	_____
2.	<input type="checkbox"/>	_____	_____	_____	_____
3.	<input type="checkbox"/>	_____	_____	_____	_____
4.	<input type="checkbox"/>	_____	_____	_____	_____
5.	<input type="checkbox"/>	_____	_____	_____	_____
6.	<input type="checkbox"/>	_____	_____	_____	_____

W = Weekly    B = Bi-Weekly    M = Semi-Monthly    M = Monthly    Q = Quarterly    S = Semi-Annually    A = Annually

Pre-Authorized Payment Terms and Conditions

You authorize us to debit your deposit account (the "PAD Account") at the financial institution holding the PAD Account (the "Deposit Bank") for payments and all other amounts owing to us under this Agreement. You warrant that all persons whose signatures are required to debit the PAD Account are providing this authorization or have provided a separate authorization. This pre-authorized debit ("PAD") authorization may be cancelled at any time upon 30 days written notice. Revocation of this authorization does not terminate any part of this Agreement and does not relieve you of your obligations to pay the amounts owing under the Agreement. You will inform us in writing of any change in the PAD Account at least 30 days prior to the next due date of the PAD. Delivery of this authorization to us constitutes delivery by you to the Deposit Bank. The Deposit Bank is not required to verify that a PAD has been issued in accordance with this authorization including, but not limited to, the amount and fulfillment of purpose. A PAD may be disputed by you if: (i) the PAD was not drawn in accordance with the authorization; (ii) the authorization was revoked; or (iii) in the case of a personal PAD pre-notification was not received. In order to be reimbursed, a declaration to the effect that either (i), (ii), or (iii) took place must be completed and sent to the Deposit Bank not more than 90 calendar days (or 10 business days for a business PAD) after the date on which the disputed PAD was posted to the PAD Account. You shall resolve any dispute raised after that period solely with us. You agree to the provisions of the PAD Authorization above and authorize us to process a debit for (business/personal) purposes in the amount of your outstanding payments on the due date, as shown above.

Most TD Mutual Funds® charge an early redemption fee within a certain number of days after purchase. Please refer to the prospectus or ask a Mutual Funds Representative for applicability to the funds you are purchasing or redeeming.

**Within two (2) business days of acceptance of a purchase, TD Investment Services Inc. (TDIS) will send you a current copy of the Simplified Prospectus (unless we have previously done so) for the applicable Mutual Fund(s) and/or Portfolio(s).** This transaction is made on the terms and conditions described in the prospectus and TD Asset Management Inc. reserves the right to accept or reject any purchase order within one (1) business day of receipt of the order.

Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus, which contains detailed investment information, before investing. Mutual funds are not covered by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed or insured. Their values change frequently. There can be no assurances that a money market fund will be able to maintain its net asset value per unit at a constant amount or that the full amount of your investment will be returned to you. Past performance may not be repeated.

\* Trade-mark of The Toronto-Dominion Bank, TDIS is a licensed user.

Customer Signature   X   Date \_\_\_\_\_  
Yr      Mo      Day Note: Transaction Date is based on the date account is opened.

**Internal Use Only**

Account # \_\_\_\_\_ Transaction Date 

Yr	Mo	Day

 Local Time \_\_\_\_\_

Know Your Client Information     Messages

Mutual Funds Representative Signature \_\_\_\_\_ Rep. Code 

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 Branch # 

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Branch Manager Signature \_\_\_\_\_ Date: \_\_\_\_\_ Branch Manager Rep. Code 

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**Comments/Additional Instructions:** \_\_\_\_\_