

Plan Holder Information

Family Name _____ Given Name _____ Branch Stamp _____

Social Insurance Number _____ Telephone Number _____

Applicable Pension Legislation

Pension Source Provincial _____
Jurisdiction _____

Or

And _____
Company Name _____

Plan Type (Please identify your existing plan type)

Personal Spousal Locked-In Federal _____
Company Name _____

If Locked-in Pension information must be completed. =>

Complete For Spousal Plans Only

Contributor's Family Name _____ Contributor's Given Name _____ And Contributor's Social Insurance Number _____

(A) Transfer from a TD Canada Trust RSP

Transit # _____ Plan # _____ DISA RSP Account # _____

Full Plan Transfer Transfer All Cash & GICs As They Are Or Partial Plan Transfer Specify what Part(s) of the Plan are to be transferred (Partial Transfer Only)

DISA RSP Account - \$ _____ Only
 Term deposit # _____ - _____ In-Kind (As it is)
Term deposit # _____ - _____ In-Kind (As it is)
Term deposit # _____ - _____ In-Kind (As it is)

Or

(B) Transfer from Other Internal Registered Plans

(Select only one, using an "X")

Provide The Plan Number

<input type="checkbox"/> TD Waterhouse Discount Brokerage	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Waterhouse Private Investment Advice	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Waterhouse Financial Planning	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Future Builder Account		_____
<input type="checkbox"/> TD Investment Services Inc.	TD Mutual Funds RSP/LIRA/LRSP/RIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Canada Trust RIO	FLEXI RIF/LIF/LRIF/RLIF	_____
<input type="checkbox"/> TD Waterhouse Private Investment Counsel Inc. Account		_____
<input type="checkbox"/> Tax-Free Savings Account (All lines of business)		_____
<input type="checkbox"/> Other		_____

Transfer Instructions (Select either "Full", or "Partial")

Full Plan Transfer "In-Cash" (Sell all eligible investments and transfer the proceeds as Cash) Or "In-Kind" (Transfer cash / eligible investments as they are)

Or

Partial Plan Transfer "In-Cash" \$ _____ And/Or "In-Kind"

(Sell investments listed below and transfer the proceeds as cash)

_____	_____	_____	_____
shares/units	Security Name	shares/units	Security Name
_____	_____	_____	_____
shares/units	Security Name	shares/units	Security Name

(C) Transfer to Other Internal Registered Plans

(Must be completed for all Transfers)

(Select only one, using an "X")

Provide The Plan Number

<input type="checkbox"/> TD Canada Trust RSP	Transit # <input type="text"/>	<input type="text"/>
	DISA RSP Account # <input type="text"/>	<input type="text"/>
<input type="checkbox"/> TD Waterhouse Discount Brokerage	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	<input type="text"/>
<input type="checkbox"/> TD Waterhouse Private Investment Advice	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	<input type="text"/>
<input type="checkbox"/> TD Waterhouse Financial Planning	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	<input type="text"/>
<input type="checkbox"/> TD Future Builder Account		<input type="text"/>
<input type="checkbox"/> TD Investment Services Inc.	TD Mutual Funds RSP/LIRA/LRSP/RIF/LIF/LRIF/RLSP/RLIF	<input type="text"/>
<input type="checkbox"/> TD Canada Trust RIO	FLEXI RIF/LIF/LRIF/RLIF	<input type="text"/>
<input type="checkbox"/> TD Waterhouse Private Investment Counsel Inc. Account		<input type="text"/>
<input type="checkbox"/> Tax-Free Savings Account (All lines of business)		<input type="text"/>
<input type="checkbox"/> Other		<input type="text"/>

(D) Important - Must Be Completed

I acknowledge that market prices for assets may take a down turn prior to receipt of my instructions for sale. Sale of securities may delay the completion of the transfer.

_____	_____	<input type="text"/>
Planholder's Signature	Branch Authorized Signature & Number	RIC Number
<input type="text"/> - <input type="text"/> - <input type="text"/>	_____	<input type="text"/> - <input type="text"/> - <input type="text"/>
MM DD YYYY	Branch Contact (Please Print Name)	Branch Contact Telephone Number

For Head Office Use Only

<input type="checkbox"/> Personal	<input type="checkbox"/> Locked-In RSP / LIRA	<input type="checkbox"/> RLSP
<input type="checkbox"/> Spousal	<input type="checkbox"/> Locked-In RIF / LIF / LRIF	<input type="checkbox"/> RLIF

_____	_____
Date Request Received	Date Request Completed

\$ _____

For RIF/SDRIF Account Only

<input type="checkbox"/> Qualifying	<input type="checkbox"/> Non-Qualifying
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Annual Minimum Paid \$ _____

Authorized Signature

This Form Is Not To Be Used For Buy Orders

How To Complete The Form

Note: A different form must be used when transferring funds to more than one area or from more than one plan.

Section A - Transfer Instructions From a TD Canada Trust RSP

Complete this section **only** when transferring from a TD Canada Trust RSP. When Section A applies, Sections C and D **must** be completed.

Tick "Full Plan Transfer" if everything in the RSP plan is to be transferred (i.e. DISA RSP account and all associated GICs).

Tick "Partial Plan Transfer" if the customer does not wish to transfer the entire plan.

If "Partial Plan Transfer" is selected:

Tick "DISA RSP Account Full Balance" if the customer wishes to transfer the entire DISA RSP Account Balance.

Tick "DISA RSP Account - \$..... Only" if the customer wishes to transfer a specific amount from their DISA RSP account. Enter the requested transfer amount in the space provided.

Tick "GIC Deposit #.....-..... In-Kind (As it is)" when the customer wishes to transfer one or more of their GICs. Enter the GIC # and the deposit number in the space provided.

Note: For GICs maturing within 3 business days ensure that the TRM maturity instructions are changed to "Deposit to DISA" prior to transferring the deposit. When the maturity option has been changed, tick "DISA RSP Account - \$..... Only" and enter the value of the matured GIC in the space provided.

*****For GICs with maturity dates longer than 3 days, transfer the GIC "In-Kind" (As it is).**

Section B - Transfer Instructions For All Transfers From Other Internal Registered Plans

Complete this section when transfers from TD Waterhouse Discount Brokerage, TD Waterhouse Private Investment Advice, TD Waterhouse Financial Planning, TD Waterhouse Private Counsel Inc., TD Investment Services Inc., TD Canada Trust RIO, or TD Future Builder are requested. When Section B applies, Sections C and D **must** be completed.

Tick the appropriate box to indicate the source of the transfer. Enter the plan #.

And

Tick **one** box to indicate if the transfer is a:

Full Plan Transfer - customer has requested that **all** assets are to be transferred. Indicate whether the transfer is to be "In-Cash"/"In-Kind".

Or

Partial Plan Transfer - customer wants to transfer a specific cash amount or specific investments. Enter the dollar amount **or** quantity of shares/units and the name of the security to be transferred.

Then tick the appropriate box to indicate if the transfer is:

In-Cash (meaning to sell all eligible securities & send the proceeds as "**Cash**").

Or

In-Kind (meaning to transfer the existing cash balance and eligible securities as they are).

Section C - Transfer To

Completion of this section is **mandatory** regardless of the type of transfer.

Indicate by ticking the appropriate box **where** the transfer is to go and insert the Plan # and if applicable the DISA RSP #.

Section D

Self explanatory. Ensure all information / authorizations requested are provided.

Forward the Transfer Documents to the Applicable Area:

**TD Investment Services (Mutual Funds)
TD Waterhouse (ANY) Canada Inc.**

Client Transfer Services
c/o Distribution Centre
60 Northwind Place
Scarborough, Ontario M1S 5L4

TD Canada Trust RIO

Branch Services Centre (BSC)

TD Group Future Builder

77 Bloor Street West, 6th Floor
P.O. Box 5999, Station F
Toronto, Ontario M4Y 2T1
Fax #: 1-800-538-6367