Please Note: You cannot save a completed copy of this form on your computer. If you would like a copy for your records, please fill it in and print it.

TD

Clear Fields

Registered Plans Internal Transfer Form

Plan Holder Information

Family Name

Given Name

Branch Stamp

Social Insurance Number - -

Telephone Number ( ) -

Applicable Pension Legislation

Pension Source

☐ Provincial — Jurisdiction

And

Company Name

Or

☐ Federal — Company Name

Plan Type (Please identify your existing plan type)

☐ Personal ☐ Spousal ☐ Locked-In

If Locked-in Pension information **must** be completed. =>

Complete For Spousal Plans Only

Contributor's Family Name

Contributor's Given Name

And

Contributor's Social Insurance Number - -

(A) Transfer from a TD Canada Trust RSP

Transit #

Plan #

DISA RSP Account #

☐ **Full Plan Transfer**
Transfer All Cash & GICs As They Are

Or

☐ **Partial Plan Transfer**
Specify what Part(s) of the Plan are to be transferred (Partial Transfer **Only**)

☐ DISA RSP Account - $ **Only**

☐ Term deposit # - **In-Kind** (As it is)

Term deposit # - **In-Kind** (As it is)

Term deposit # - **In-Kind** (As it is)

Or

(B) Transfer from Other Internal Registered Plans

(Select only one, using an "X")

Provide The Plan Number

☐ **TD Waterhouse Discount Brokerage**	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Waterhouse Private Investment Advice**	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Waterhouse Financial Planning**	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Future Builder Account**		
☐ **TD Investment Services Inc.**	TD Mutual Funds RSP/LIRA/LRSP/RIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Canada Trust RIO**	FLEXI RIF/LIF/LRIF/RLIF	
☐ **TD Waterhouse Private Investment Counsel Inc. Account**		
☐ **Tax-Free Savings Account (All lines of business)**		
☐ **Other**		

Transfer Instructions (Select either "Full", or "Partial")

☐ **Full Plan Transfer**

☐ **"In-Cash"**
(Sell all eligible investments and transfer the proceeds as **Cash**)

Or

☐ **"In-Kind"**
(Transfer cash / eligible investments as they are)

Or

☐ **Partial Plan Transfer**

☐ **"In-Cash"** $
(Sell investments listed below and transfer the proceeds as cash)

And/Or

☐ **"In-Kind"**

shares/units Security Name

shares/units Security Name

shares/units Security Name

shares/units Security Name

Customer Copy / Branch Copy

517656 (0412)

(C) Transfer to Other Internal Registered Plans

(**Must** be completed for **all** Transfers)

(Select only one, using an "X")

		Provide The Plan Number
☐ **TD Canada Trust RSP**	Transit #	
	DISA RSP Account #	
☐ **TD Waterhouse Discount Brokerage**	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Waterhouse Private Investment Advice**	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Waterhouse Financial Planning**	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Future Builder Account**		
☐ **TD Investment Services Inc.**	TD Mutual Funds RSP/LIRA/LRSP/RIF/LIF/LRIF/RLSP/RLIF	
☐ **TD Canada Trust RIO**	FLEXI RIF/LIF/LRIF/RLIF	
☐ **TD Waterhouse Private Investment Counsel Inc. Account**		
☐ **Tax-Free Savings Account (All lines of business)**		
☐ **Other**		

(D) Important - Must Be Completed

I acknowledge that market prices for assets may take a down turn prior to receipt of my instructions for sale.
Sale of securities may delay the completion of the transfer.
I acknowledge that, if a GIC or Term Deposit is transferred from a TD Canada Trust registered plan to a TD Waterhouse registered plan, then any maturity instruction of renew principal and interest shall be changed to payout principal and interest.

Planholder's Signature ______________ Branch Authorized Signature & Number ______________ RIC Number

MM - DD - YYYY Branch Contact (Please Print Name) ______________ Branch Contact Telephone Number

For Head Office Use Only

☐ Personal ☐ Locked-In RSP / LIRA ☐ RLSP

☐ Spousal ☐ Locked-In RIF / LIF / LRIF ☐ RLIF

Date Request Received ______________ Date Request Completed ______________

$ ______________

For RIF/SDRIF Account Only

☐ Qualifying ☐ Non-Qualifying

Annual Minimum Paid $ ______________

Authorized Signature ______________

This Form Is Not To Be Used For Buy Orders

How To Complete The Form

Note: A different form must be used when transferring funds to more than one area or from more than one plan.

Section A - Transfer Instructions From a TD Canada Trust RSP

Complete this section **only** when transferring from a TD Canada Trust RSP. When Section A applies, Sections C and D **must** be completed.

Tick "Full Plan Transfer" if everything in the RSP plan is to be transferred (i.e. DISA RSP account and all associated GICs).

Tick "Partial Plan Transfer" if the customer does not wish to transfer the entire plan.

If "Partial Plan Transfer" is selected:

Tick "DISA RSP Account Full Balance" if the customer wishes to transfer the entire DISA RSP Account Balance.

Tick "DISA RSP Account - $............ Only" if the customer wishes to transfer a specific amount from their DISA RSP account. Enter the requested transfer amount in the space provided.

Tick "GIC Deposit #...........-....... In-Kind (As it is)" when the customer wishes to transfer one or more of their GICs. Enter the GIC # and the deposit number in the space provided.

Note: For GICs maturing within 3 business days ensure that the TRM maturity instructions are changed to "Deposit to DISA" prior to transferring the deposit. When the maturity option has been changed, tick "DISA RSP Account - $........... Only" and enter the value of the matured GIC in the space provided.

*****For GICs with maturity dates longer than 3 days, transfer the GIC "In-Kind" (As it is).**

Section B - Transfer Instructions For All Transfers From Other Internal Registered Plans

Complete this section when transfers from TD Waterhouse Discount Brokerage, TD Waterhouse Private Investment Advice, TD Waterhouse Financial Planning, TD Waterhouse Private Counsel Inc., TD Investment Services Inc., TD Canada Trust RIO, or TD Future Builder are requested. When Section B applies, Sections C and D **must** be completed.

Tick the appropriate box to indicate the source of the transfer. Enter the plan #.

And

Tick **one** box to indicate if the transfer is a:

Full Plan Transfer - customer has requested that **all** assets are to be transferred. Indicate whether the transfer is to be "In-Cash"/"In-Kind".

Or

Partial Plan Transfer - customer wants to transfer a specific cash amount or specific investments. Enter the dollar amount **or** quantity of shares/units and the name of the security to be transferred.

Then tick the appropriate box to indicate if the transfer is:

In-Cash (meaning to sell all eligible securities & send the proceeds as **"Cash"**).

Or

In-Kind (meaning to transfer the existing cash balance and eligible securities as they are).

Section C - Transfer To

Completion of this section is **mandatory** regardless of the type of transfer.

Indicate by ticking the appropriate box **where** the transfer is to go and insert the Plan # and if applicable the DISA RSP #.

Section D

Self explanatory. Ensure all information / authorizations requested are provided.

Forward the Transfer Documents to the Applicable Area:

☐ **TD Investment Services (Mutual Funds)**
TD Waterhouse (ANY) Canada Inc.

Client Transfer Services
Client Documentation Department
3500 Steeles Avenue East
Tower 2, 2nd Floor
Markham, ON L3R 0X1

☐ **TD Canada Trust RIO**

Branch Services Centre (BSC)

☐ **TD Future Builder Service & Quality**

895 Don Mills Road
One Morneau Sobeco Centre, Suite 700
Toronto, ON M3C 1W3
Fax#: 1-855-280-3431

END