

**This application can be used by existing TD Canada Trust customers to open a TD Mutual Funds Tax-Free Savings Account.**

**Steps to complete this package:**

- Account Application and Customer Investor Profile
  - Both documents must be completed in order to open a TD Mutual Funds Tax-Free Savings Account. The Customer Investor Profile will help you determine your Investor Profile and the optimal asset mix for your portfolio.
  
- Please provide your TD Canada Trust account number or TD Mutual Funds account number in the space provided.
  
- Transaction Form
  - The Transaction Form is to be used if you are making purchases, or setting up a Pre-Authorized Purchase Plan (PPP) for your Mutual Funds account.
  
- Transfers
  - If transferring from an existing Tax-Free Savings Account, please complete the transfer form, at the following link <http://www.tdcanadatrust.com/mutualfunds/downloads.jsp>
  
- Designation of Successor Holder and/or Beneficiary
  - The designation of a successor holder or beneficiary of this Tax-Free Savings Account is subject to the laws of the applicable jurisdiction (province or territory). If the laws of the applicable jurisdiction do not permit such a designation in the Account, it may be made only in your will (or, in Quebec, a marriage contract). If the laws of the applicable jurisdiction permit such a designation in the Account, please provide this information in Section 2 of the Account Application.
  
- In order to avoid delay, please complete correctly, sign, and initial all applicable areas.  
Forward your completed documents to:
  - TD Investment Services Inc.
  - Liberty Centre
  - 3500 Steeles Avenue, East
  - Tower 5, Level 2
  - Markham, Ontario
  - L3R 0X1

**All applications are subject to approval by TD Investment Services Inc.**

If you require further assistance, please call 1-800-281-8029 to speak to one of our Mutual Funds Representatives or email us at [td.mutualfunds@td.com](mailto:td.mutualfunds@td.com).



**TD Mutual Funds**  
**TD Investment Services Inc.**  
**Tax-Free Savings Account Application**

**Branch Use Only**

TD Mutual Funds Account # \_\_\_\_\_  
 Mutual Funds Representative Name \_\_\_\_\_ Rep. Code \_\_\_\_\_ Branch No. \_\_\_\_\_

**1. Applicant Information**

Mr.  Mrs.  Miss  Ms.  Other \_\_\_\_\_  Male  Female

Name \_\_\_\_\_  
Given Name Initial(s) Family Name

Social Insurance No. \_\_\_\_\_ Date of Birth \_\_\_\_\_ MANDATORY  
Yr Mo Day Note: Applicant must be of legal Age of Majority.

Federal Government requires your Social Insurance Number (SIN). You must by law provide us with your SIN so that we may supply the Canada Revenue Agency with reports of activity on your Account.

Permanent Address \_\_\_\_\_ City \_\_\_\_\_

Province \_\_\_\_\_ Country \_\_\_\_\_ Postal Code \_\_\_\_\_

Telephone home ( ) \_\_\_\_\_ Telephone business ( ) \_\_\_\_\_

E-mail address \_\_\_\_\_

Please complete if mailing address is different from above:

Mailing Address \_\_\_\_\_ City \_\_\_\_\_

Province \_\_\_\_\_ Country \_\_\_\_\_ Postal Code \_\_\_\_\_

Identification MANDATORY  
 (Two original pieces of ID required or existing TDIS account #):  
 Identification # \_\_\_\_\_ Type \_\_\_\_\_ Place of Issuance \_\_\_\_\_  
MANDATORY  
 Identification # \_\_\_\_\_ Type \_\_\_\_\_ Place of Issuance \_\_\_\_\_

**Language of Correspondence**

English  
 (if a Quebec resident see Section 6)  
 French

Note: TDIS accounts are only available to Canadian residents.

**Branch Use Only** Identification verified by: \_\_\_\_\_ Initial: \_\_\_\_\_  
 Second vouch of customer identification is only required for new TDCT customers (authentication rules as per KYC still apply). If identification is missing from a customer's profile, a second vouch of customer identification is required.

Employer \_\_\_\_\_ Employment Start Date \_\_\_\_\_ Type of Business \_\_\_\_\_  
Yr Mo

Employer's Address \_\_\_\_\_ Occupation \_\_\_\_\_

Power of Attorney/Trading Authority  Yes  No If Yes, please specify type and attach a copy of the document  
 (Attorney must provide KYC information in the applicable form): \_\_\_\_\_

Have you borrowed money in order to make an investment in this account?  Yes  No

**Using borrowed money to finance the purchase of securities involves greater risk than a purchase using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.**

I confirm having read this disclosure (please initial) Applicant \_\_\_\_\_

**2. Please Complete this Section for Your Tax-Free Savings Account**

The designation of a successor holder or beneficiary of this Tax-Free Savings Account is subject to the laws of the applicable jurisdiction (province or territory). If the laws of the applicable jurisdiction do not permit such a designation in the Account, it may be made only in your will (or, in Quebec, a marriage contract). If the laws of the applicable jurisdiction permit such a designation in the Account, the following applies.

**a) Designation of Beneficiary**

You have chosen to designate the following individual as beneficiary for the Account in the event of your death:

Name \_\_\_\_\_  
Given Name Initial(s) Family Name Relationship

**Note:** You may wish to discuss this designation with your legal advisor. Your designation of a beneficiary by means of a designation form will not be revoked or changed automatically by any future marriage or divorce. Should you wish to change your beneficiary in the event of a future marriage or divorce, you will have to do so by means of a new designation.

**b) Successor Holder**

Name \_\_\_\_\_ Social Insurance No. \_\_\_\_\_

Address \_\_\_\_\_ Date of Birth \_\_\_\_\_ (optional)\*

I hereby designate my spouse or common-law partner, if he or she survives me, to become the successor holder of the Account on my death.

\*Successor Holder birth date is optional. Successor Holder must consent to the use of their birth date so that we may supply the Canada Revenue Agency in the event of the Holder's death.

**3. Banking Information**

**Please attach a void cheque if you do not have a bank account with TD Canada Trust. If an account application is being mailed and you do not have a TD Canada Trust bank account or TD Mutual Funds account, a cheque payable to TD Investment Services Inc. MUST accompany the application along with your first purchase instructions.**

Canadian \$ Account Number \_\_\_\_\_

Account Holder's Name(s) \_\_\_\_\_

Financial Institution \_\_\_\_\_ Institution Number \_\_\_\_\_ Branch Transit Number \_\_\_\_\_

Financial Institution Address \_\_\_\_\_

City \_\_\_\_\_ Province \_\_\_\_\_ Postal Code \_\_\_\_\_

US \$ Account Number \_\_\_\_\_

Account Holder's Name(s) \_\_\_\_\_

Financial Institution \_\_\_\_\_ Institution Number \_\_\_\_\_ Branch Transit Number \_\_\_\_\_

Financial Institution Address \_\_\_\_\_

City \_\_\_\_\_ Province \_\_\_\_\_ Postal Code \_\_\_\_\_

### 3. Banking Information cont'd

Please select **one**: (If not completed, investment income will be automatically reinvested.)

- Investment income from dividends and interest should be automatically reinvested in additional units.
- Investment income should be directed to my bank account.
- Investment income should be sent by cheque to the address noted in Section 1.

### 4. Consent to the Collection, Use and/or Disclosure of Your Information

You agree that, at the time you begin a relationship with us and during the course of our relationship, we may collect, use and disclose your Information as described in the Privacy Agreement (located in the TD Investment Services Inc. Disclosure Document) and on td.com, including for, but not limited to, the purposes of identifying you, providing ongoing service, understanding your financial needs, protecting us both from fraud and error, complying with legal and regulatory requirements, and marketing products and services to you by telephone, fax, and automatic dialing-announcing device, at the numbers you have provided us, or by internet, mail, email or other methods.

*You may obtain our privacy code - "Protecting Your Privacy" - or review your options for refusing or withdrawing this consent, including your option not to be contacted about offers of products or services, by contacting your branch or calling us at 1-866-222-3456.*

#### You have read the Consent to the Collection, Use and/or Disclosure of Your Information and agree that:

- We may share information within the TD Bank Financial Group
- We may not share information within TD Bank Financial Group except as reasonably necessary to service your account.

### 5. Important Customer Information

You have read and hereby acknowledge the Important Customer Information on the following page.

Applicant  Yes

### 6. Application, Authorization, Disclosure, and Registration of Your Tax-Free Savings Account

Unless otherwise indicated, throughout the application and in our other documents, the words "you", "your" and "yours" mean the applicant (and any other individuals with authority over the account). The words "we", "us" and "our" mean TD Investment Services Inc. (TDIS) and/or TD Bank Financial Group.\*

**Within two (2) business days of the acceptance of the first contribution to your TDIS Account, we will send you a current copy of the Simplified Prospectus for the Applicable Portfolio and/or Mutual Fund(s).**

You hereby acknowledge that we will send you the TDIS Disclosure Document within two (2) business days of acceptance of first contribution containing the Declarations of Trust for Registered Plans, Statement of Policies, Statement of Related Issuers (Alberta residents only), Conflict of Interest Rules Statement (B.C. residents only) and Leveraging Disclosure Statement.

You understand that Mutual Funds subscriptions are made on the terms and conditions described in the prospectus and that it is subject to approval by TD Asset Management Inc. (TDAM). TDAM reserves the right to accept or reject any purchase order within one (1) business day of receipt of the order.

You acknowledge that for our mutual protection, TDIS will record all telephone calls by which your orders are placed.

#### Request to register a TD Mutual Funds Tax-Free Savings Account:

##### To The Canada Trust Company (the "Trustee"):

You hereby request that the Trustee file with the Minister of National Revenue an election to register the Account as a tax-free savings account under section 146.2 of the Income Tax Act (*Canada*). You understand that all references in the Declaration of Trust to the application or the Account are to be read and understood as references to this application. You agree on behalf of yourself, your executors and administrators to be bound by the terms of the Account. All contributions to the Account are to be invested by the Trustee as directed by you in accordance with the terms of the Account and held in your account subject to the terms of the Account. You agree to notify the Trustee if, at any time, you become a non-resident of Canada.

Most TD Mutual Funds charge an early redemption fee within a certain number of days after purchase. Please refer to the prospectus or ask a Mutual Funds Representative for applicability to the funds you are purchasing.

\* The TD Bank Financial Group means The Toronto-Dominion Bank and its affiliates, who provide deposit, investment, loan, securities, trust, insurance and other products or services.

X

Signature of Applicant

Date

### For Québec Residents Only. Language Preference Agreement (Québec residents who have requested English language only).

If you are a Québec resident, you agree with the following statement: It is my express wish that this application and any related documents be in English.

*J'ai exigé que la présente formule et tous les documents s'y rattachent soient rédigés en anglais.*

Initial

#### Initial Contact:

- Advertising
- Branch Visit
- Referral
- Phone Call
- Personal Meeting

### Branch Use Only

Mutual Funds Representative Signature \_\_\_\_\_ Rep. Code [ ] [ ] [ ] [ ] [ ] [ ]

Branch Manager Signature \_\_\_\_\_ Date: \_\_\_\_\_ Branch Manager Rep. Code [ ] [ ] [ ] [ ] [ ] [ ]

Branch Telephone # \_\_\_\_\_

#### Checklist:

- with Customer Investor Profile (Form # 521894) - **MANDATORY**
- Transaction Form (Form # 595738) - **For Lump Sum Purchases and PPP, please complete this form.**
- For transfers into TD Mutual Funds accounts (If applicable, please attach the appropriate transfer forms and a copy of a most recent statement.)
- Use of Information (Please ensure CustomerLink is updated.)

## **Important Customer Information**

TD Investment Services Inc. ("TDIS") is a separate company from and a wholly-owned subsidiary of The Toronto-Dominion Bank ("TD Bank").

**Mutual fund investments fluctuate in value and are not insured by the Canada Deposit Insurance Corporation or the Régie de l'assurance-dépôts du Québec and are not guaranteed by The Toronto-Dominion Bank. TDIS is not responsible for activities its representatives conduct for TD Bank. TD Bank is not responsible for activities its employees conduct for TDIS.**

TD Mutual Funds and TD Managed Assets Program are distributed by TD Investment Services Inc. ("TDIS"), a wholly-owned subsidiary of The Toronto-Dominion Bank. Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus, which contains detailed investment information, before investing. Mutual funds are not covered by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed or insured. Their values change frequently. There can be no assurance that a money market fund will be able to maintain its net asset value per unit at a constant amount or that the full amount of your investment will be returned to you. Past performance may not be repeated.

The following questions will help us understand your financial picture and goals. If you have more than one goal – such as retirement, your children’s education or a new home – complete a separate questionnaire for each goal.

The statements on this questionnaire that appear in **blue** are intended to help us fully understand your answers to some of the questions below. If one or more of these statements applies to you, please follow the directions provided.

How much money do you want to invest today? Lump Sum \$

Pre-Authorized Purchase Plan (PPP) \$

## A. GENERAL QUESTIONS

The following questions will provide us with important information about your investment knowledge and current financial situation, which will ensure that the recommendations we make are in line with your financial circumstances.

### 1. I describe my understanding about investing as:

- Limited:** I don’t understand much about investing.
- Average:** I understand that stocks are riskier than bonds.
- Above Average:** I understand the capital markets and the different financial instruments out there.
- Sophisticated:** I have a strong understanding of investing. I understand the risk/return trade-offs associated with investing in both Canadian and foreign markets.

### 2. What is the approximate value of your investment holdings (excluding real estate)? Please include all investment accounts (RSP and non-registered) including ones held at other institutions.

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$99,999
- \$100,000 - \$499,999
- \$500,000 - \$999,999
- Over \$1,000,000

### 3. Your Personal Net Worth is calculated by adding your estimated Liquid Assets (i.e. Investments, Cash) and estimated Fixed Assets (i.e. Real Estate), minus your estimated Total Liabilities (i.e. Mortgage, Car Loans)

What are your:

Liquid Assets \$ \_\_\_\_\_  
 + Fixed Assets \$ \_\_\_\_\_  
 = Total Assets \$ \_\_\_\_\_  
 - Total Liabilities \$ \_\_\_\_\_  
 = Estimated Personal Net Worth \$ \_\_\_\_\_

If your net worth is \$0 or a negative amount, please select the option that best reflects your situation –

- I am starting to invest and have a long-term time horizon
- I have a high level of income and the ability to withstand a potential loss
- I can manage a decline in the value of my investments because

### 4. What is your personal annual income?

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$74,999
- \$75,000 - \$125,000
- Over \$125,000

### 5. What is your current age?

\_\_\_\_\_ yrs.

If you are over age 65 and indicated that your annual income is under \$25,000, please select the option that reflects your situation –

- I have a high net worth of liquid assets
- I intend to use these funds as part of my estate
- This account represents a small part of my portfolio
- Other \_\_\_\_\_

## B. PORTFOLIO OBJECTIVES

The following questions will help define your investment goal for this particular account. This may include your desire for preservation of capital, need for investment income or interest in growing your investment.

### 6. Which of the following best describes your investment objective for this account?

- a. I don’t need any investment income at this time. Security of capital and liquidity is my primary concern. . . . . **Safety Profile**
- b. My only need is to generate a steady stream of investment income while preserving my capital . . . . . **2 Points**
- c. I may have some need for investment income, but am also interested in growing my investments . . . . . **4 Points**
- d. I would like long-term growth and am less concerned about investment income at this time. . . . . **8 Points**
- e. I am only interested in maximizing growth over the long term . . . . . **12 Points**

If you selected answer 6a, you will be assigned a Safety Profile. Please complete the questionnaire and move directly to Step 3.

### 7. How important is it for you to keep a portion of your account in relatively safe investments with minimal fluctuations?

- a. Of critical importance . . . . . **0 Points**
- b. Very important . . . . . **0 Points**
- c. Important . . . . . **2 Points**
- d. Somewhat important . . . . . **4 Points**
- e. Unimportant . . . . . **8 Points**

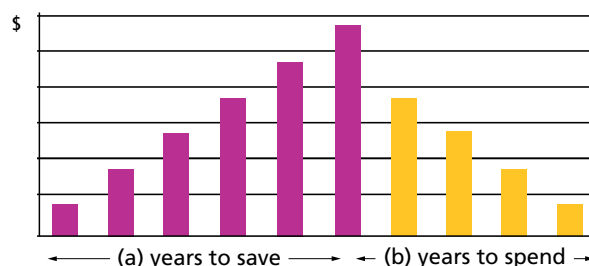
If you answered question 6 d or e AND question 7 a or b, there is a conflict in your objectives. Please revise your answers or select one of the following options –

- I understand that a growth portfolio might not include low-risk investments, and that low-risk investments are of less importance to me than the overall investment objective for this account
- Other \_\_\_\_\_

## C. TIME HORIZON

Your Time Horizon is the length of time required to achieve your goal(s). This can be broken down in 2 parts:

- (a) time to save or accumulate toward the goal(s) and
- (b) time to spend or withdraw



(continued on the next page)







**3 – Balanced Income**

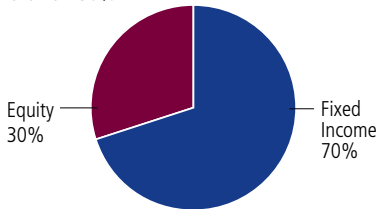
**Description:**

Your tolerance for portfolio volatility and loss of capital is low-to-moderate. You are willing to tolerate short term fluctuations in your investment returns and small to moderate losses of capital in exchange for potential capital appreciation. A portfolio with this objective will seek to provide a moderate level of income and some potential for capital appreciation by investing primarily in a diversified portfolio of fixed-income investments with some exposure to equity or equity-type investments. Your investment time horizon is three (3) to five (5) years.

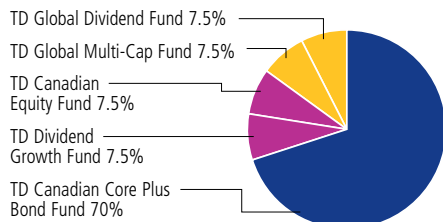
**Investor Profile**

**Investment Objective:**  
Income: 70%  
Growth: 30%

**Risk Level:**  
Low-Moderate



**TD Comfort Balanced Income Portfolio**



**Asset Class Ranges:**  
Fixed Income: 60%-80%; Equity: 20%-40%

**TD Mutual Funds Model Portfolio**

| Lump Sum \$100 to \$10,000 OR Pre-Authorized Purchase Plan \$25 to \$250 | Lump Sum \$10,000+ OR Pre-Authorized Purchase Plan \$250+  |
|--|--|
| 100% TD Canadian Core Plus Bond Fund                                     | 55% TD Canadian Core Plus Bond Fund<br>15% TD Short Term Bond Fund<br>10% TD Canadian Blue Chip Equity Fund<br>10% TD Global Growth Fund<br>10% TD Global Value Fund |

**4 – Balanced**

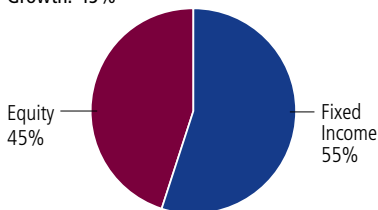
**Description:**

Your tolerance for portfolio volatility and loss of capital is moderate. You are willing to tolerate some fluctuations in your investment returns and moderate losses of capital, but you are looking for a stable pattern of growth over the long-term. A portfolio with this objective will seek to provide a combination of modest income and long-term capital growth by investing in a balance of fixed income and equity or equity-type investments. Your investment time horizon is five (5) years or longer.

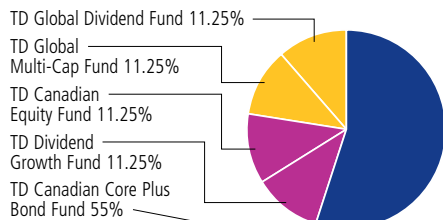
**Investor Profile**

**Investment Objective:**  
Income: 55%  
Growth: 45%

**Risk Level:**  
Moderate



**TD Comfort Balanced Portfolio**



**Asset Class Ranges:**  
Fixed Income: 45%-65%; Equity: 35%-55%

**TD Mutual Funds Model Portfolio**

| Lump Sum \$100 to \$10,000 OR Pre-Authorized Purchase Plan \$25 to \$250 | Lump Sum \$10,000+ OR Pre-Authorized Purchase Plan \$250+  |
|--|--|
| 100% TD Monthly Income Fund  | 45% TD Canadian Core Plus Bond Fund<br>10% TD Short Term Bond Fund<br>13% TD Canadian Blue Chip Equity Fund<br>16% TD Global Growth Fund<br>16% TD Global Value Fund |

**5 – Balanced Growth**

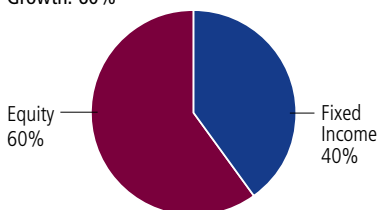
**Description:**

Your tolerance for portfolio volatility and loss of capital is moderate to high. You are willing to tolerate some fluctuations in your investment returns and moderate to large losses of capital, but you prefer a stable pattern of growth over the long term. A portfolio with this objective will seek to provide long-term capital appreciation and modest income by investing primarily in equity or equity-type investments and fixed-income investments. Your investment time horizon is five (5) years or longer.

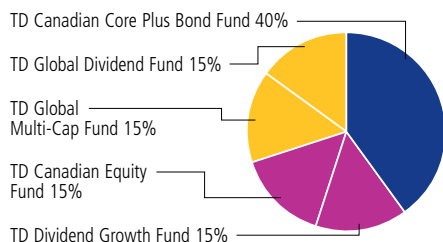
**Investor Profile**

**Investment Objective:**  
Income: 40%  
Growth: 60%

**Risk Level:**  
Moderate-High



**TD Comfort Balanced Growth Portfolio**



**Asset Class Ranges:**  
Fixed Income: 30%-50%; Equity: 50%-70%

**TD Mutual Funds Model Portfolio**

| Lump Sum \$100 to \$10,000 OR Pre-Authorized Purchase Plan \$25 to \$250 | Lump Sum \$10,000+ OR Pre-Authorized Purchase Plan \$250+  |
|--|--|
| 100% TD Monthly Income Fund  | 40% TD Canadian Core Plus Bond Fund<br>18% TD Canadian Blue Chip Equity Fund<br>11% TD U.S. Large-Cap Value Fund<br>10% TD U.S. Blue Chip Equity Fund<br>11% TD International Value Fund<br>10% TD International Growth Fund |

6 – Growth

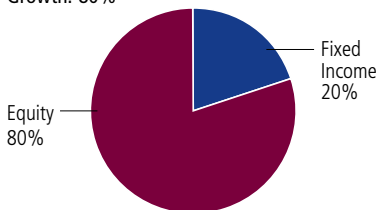
**Description:**

Your tolerance for portfolio volatility and loss of capital is high. You are willing to tolerate fluctuations in your investment returns and moderate to large losses of capital in exchange for potential long-term capital appreciation. A portfolio with this objective will seek to provide long-term capital appreciation with some potential for earning a modest level of income by investing primarily in equity or equity-type investments. Your investment time horizon is five (5) years or longer.

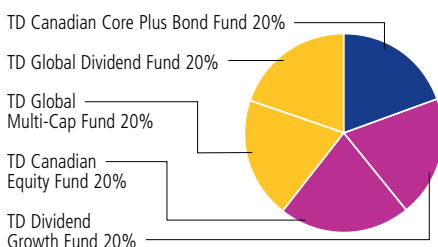
**Investor Profile**

**Investment Objective:**  
Income: 20%  
Growth: 80%

**Risk Level:**  
High



**TD Comfort Growth Portfolio**



**Asset Class Ranges:**  
Fixed Income: 10%-30%; Equity: 70%-90%

**TD Mutual Funds Model Portfolio**

| Lump Sum \$100 to \$10,000 OR Pre-Authorized Purchase Plan \$25 to \$250 | Lump Sum \$10,000+ OR Pre-Authorized Purchase Plan \$250+  |
|--|--|
| 100% TD Dividend Growth Fund   | 20% TD Canadian Core Plus Bond Fund<br>24% TD Canadian Blue Chip Equity Fund<br>14% TD U.S. Large-Cap Value Fund<br>14% TD U.S. Blue Chip Equity Fund<br>14% TD International Value Fund<br>14% TD International Growth Fund |

7 – Aggressive Growth

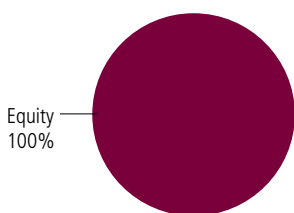
**Description:**

Your tolerance for portfolio volatility and substantial loss of capital is very high. You are willing to tolerate large fluctuations in your investment returns and large losses of capital in exchange for potential long-term capital appreciation. You are solely focused on maximizing above market returns, which may include through speculative trading. A portfolio with this objective will seek to provide long-term capital appreciation by investing primarily in equity or equity-type investments. Your investment time horizon is five (5) years or longer.

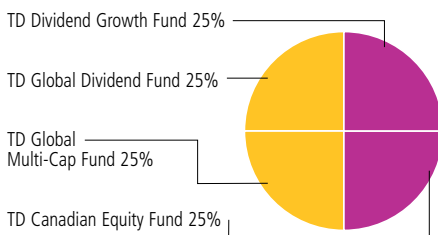
**Investor Profile**

**Investment Objective:**  
Growth: 100%

**Risk Level:**  
High



**TD Comfort Aggressive Growth Portfolio**



**Asset Class Ranges:**  
Fixed Income: 0%-10%; Equity: 90%-100%

**TD Mutual Funds Model Portfolio**

| Lump Sum \$100 to \$10,000 OR Pre-Authorized Purchase Plan \$25 to \$250 | Lump Sum \$10,000+ OR Pre-Authorized Purchase Plan \$250+  |
|--|--|
| 100% TD Dividend Growth Fund   | 30% TD Canadian Blue Chip Equity Fund<br>18% TD International Value Fund<br>18% TD U.S. Large-Cap Value Fund<br>12% TD International Growth Fund<br>12% TD U.S. Blue Chip Equity Fund<br>10% Global Multi-Cap Fund |

**Inconsistent Profile**

An Inconsistent Profile (IP) results when the Risk Tolerance answers you provided are inconsistent with the Portfolio Objective answers. You may wish to revisit the questions in these two sections to modify potentially conflicting answers. If a review of these questions still results in an Inconsistent Profile then you will be assigned a “Safety Profile”. Please speak with your Mutual Funds Representative.

**For more information, please speak with  
a Mutual Funds Representative  
at your TD Canada Trust branch, call **1-800-281-8029**  
or visit **[www.tdcanadatrust.com/mutualfunds](http://www.tdcanadatrust.com/mutualfunds)****

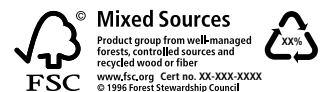
Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus, which contains detailed investment information, before investing. Mutual funds are not covered by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed or insured. Their values change frequently. There can be no assurances that a money market fund will be able to maintain its net asset value per unit at a constant amount or that the full amount of your investment will be returned to you. Past performance may not be repeated.

TD Mutual Funds are managed by TD Asset Management Inc., a wholly owned subsidiary of The Toronto-Dominion Bank. Available through TD Investment Services Inc. (principal distributor), TD Waterhouse Canada Inc. (Member of the Canadian Investor Protection Fund) and independent dealers.

<sup>1</sup> Mutual Funds Representatives with TD Investment Services Inc. distribute mutual funds at TD Canada Trust.

<sup>2</sup> Asset allocation targets and fund selection are as at June 30, 2010 and are subject to change. In July 2010, the name of the TD Comfort Moderate Portfolio was changed to TD Comfort Balanced Portfolio. Also, the name of the TD Comfort Balanced Portfolio existing prior to July 2010 was changed to TD Comfort Balanced Growth Portfolio.

TD Mutual Funds and Comfort Portfolios are trade-marks of The Toronto-Dominion Bank, used under license.





**Personal Information**

Name \_\_\_\_\_  
Given Name Family Name

**Source/Settlement**

- Please debit the bank account on the new account application for this transaction.
- Cheque is enclosed and made payable to TD Investment Services Inc. (TDIS).
- Transfer from an existing RSP account, Non-Registered account, or Tax-Free Savings Account.

**Transaction Information**

- Purchase:**
- Non-Registered
  - RSP Contribution
  - RSP Rollover
  - TFSA

| Fund # | Fund/Portfolio Name | \$ or % | CAD/<br>USD | Fund # | Fund/Portfolio Name | \$ or % | CAD/<br>USD |
|--------|---------------------|---------|-------------|--------|---------------------|---------|-------------|
| 1.     | _____               | _____   | _____       | 4.     | _____               | _____   | _____       |
| 2.     | _____               | _____   | _____       | 5.     | _____               | _____   | _____       |
| 3.     | _____               | _____   | _____       | 6.     | _____               | _____   | _____       |

**Pre-Authorized Purchase Plan (PPP)**

|    | New                      | Fund # | Dollars (\$) | Frequency<br>(W, B, M, Q, S, A) | Start Date<br>(Yr/Mo/Day) |
|----|--------------------------|--------|--------------|---------------------------------|---------------------------|
| 1. | <input type="checkbox"/> | _____  | _____        | _____                           | _____                     |
| 2. | <input type="checkbox"/> | _____  | _____        | _____                           | _____                     |
| 3. | <input type="checkbox"/> | _____  | _____        | _____                           | _____                     |
| 4. | <input type="checkbox"/> | _____  | _____        | _____                           | _____                     |
| 5. | <input type="checkbox"/> | _____  | _____        | _____                           | _____                     |
| 6. | <input type="checkbox"/> | _____  | _____        | _____                           | _____                     |

W = Weekly    B = Bi-Weekly    M = Semi-Monthly    M = Monthly    Q = Quarterly    S = Semi-Annually    A = Annually

**Pre-Authorized Payment Terms and Conditions**

You agree to the provisions of these Pre-Authorized Payment Terms and Conditions (the "Authorization") and allow us to process one or more fund transfers as outlined in sections 4 and 6 of this Agreement and in this Authorization for business and/or personal purposes. You authorize us to debit your deposit account (the "Bank Account") at the financial institution indicated in section 9 of this Agreement (the "Deposit Bank") for payments and all other amounts owing to us under this Agreement. Payments for purchases originating from the Contributing Spouse's Bank Account in the case of a Spousal RSP, and in all other cases debits made to pay any amount owing to us under this Agreement shall be processed as Personal PADS, as defined by the Canadian Payments Association. If you are a corporation or other entity, amounts debited to pay any amount owing to us under this Agreement shall be processed as Business PADS. In most other cases, funds will be transferred from the Bank Account held by you directly to the TD Mutual Funds Account that is the subject of this Agreement, in which case, the debit will be processed as a Funds Transfer PAD. You hereby assure us and warrant that all persons whose signatures are required to debit the Bank Account are providing this Authorization or have provided a separate authorization. This Authorization may be cancelled at any time upon 30 days prior notice. Such notice may be in writing or may be given orally (if we are able to verify your identity). To obtain a sample cancellation form or for more information regarding your right to cancel this Authorization, please consult with your financial institution or visit [www.cdnpay.ca](http://www.cdnpay.ca). Revocation of this Authorization does not terminate any part of this Agreement and does not relieve you of your obligations to pay the amounts owing under the Agreement. You will inform us in writing of any change in the Bank Account at least 10 days prior to the next regularly scheduled debit, and in the case of debits occurring on a frequency less than 10 days, this Authorization shall be amended by us in respect of debits occurring 10 days from receipt of your notice to us of the change. Delivery of this Authorization to us constitutes delivery by you to the Deposit Bank. The Deposit Bank is not required to verify that a debit to the Bank Account has been made in accordance with this Authorization including, but not limited to, the amount and fulfillment of purpose. You have certain recourse rights if any debit does not comply with this Authorization. For example, you have the right to receive reimbursement for any debit that is not authorized or is not consistent with this Authorization. In order to be reimbursed for any disputed debit made to your Bank Account in the case of a Personal PAD or Business PAD, a declaration must be completed and sent to the Deposit Bank not more than 90 calendar days or 10 business days respectively after the date on which the disputed transaction was posted to your Bank account. To obtain more information on your recourse rights, you may contact your financial institution or visit [www.cdnpay.ca](http://www.cdnpay.ca). In the case of all Business PADS and Personal PADS, by signing this Authorization, you hereby waive any pre-notification requirements as specified by sections 15(a) and (b) of the Canadian Payments Association Rule H1, which require us to provide you with a separate summary of your instructions in sections 4 and 6 of this Agreement, and any changes thereto 10 calendar days prior to acting upon them. You agree to keep a separate copy of this Agreement and record any modifications to your instructions in order to prevent any delays and/or denied transactions with respect to your fund transfer requests.

Most TD Mutual Funds charge an early redemption fee within a certain number of days after purchase. Please refer to the prospectus or ask a Mutual Funds Representative for applicability to the funds you are purchasing or redeeming.

**Within two (2) business days of acceptance of a purchase, TD Investment Services Inc. (TDIS) will send you a current copy of the Simplified Prospectus (unless we have previously done so) for the applicable Mutual Fund(s) and/or Portfolio(s).** This transaction is made on the terms and conditions described in the prospectus and TD Asset Management Inc. reserves the right to accept or reject any purchase order within one (1) business day of receipt of the order.

Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus, which contains detailed investment information, before investing. Mutual funds are not covered by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed or insured. Their values change frequently. There can be no assurances that a money market fund will be able to maintain its net asset value per unit at a constant amount or that the full amount of your investment will be returned to you. Past performance may not be repeated.

**Customer Signature**   X   **Date** \_\_\_\_\_  
Yr      Mo      Day Note: Transaction Date is based on the date account is opened.

|   |  |
|---|--|
| <b>Internal Use Only</b>  |  |
| Account # _____   | Transaction Date _____<br><small>Yr      Mo      Day</small>                 |
| <input type="checkbox"/> Know Your Client Information <input type="checkbox"/> Messages | Local Time _____   |
| Mutual Funds Representative Signature _____   | Rep. Code _____ Branch # <u>  3  </u> <u>  5  </u> <u>  7  </u> <u>  7  </u> |
| Branch Manager Signature _____  | Date: _____ Branch Manager Rep. Code _____                                   |
| <b>Comments/Additional Instructions:</b> _____  |  |